

Licensing Profile

Important: Incomplete form submissions may cause delay in license application processing and/or appointment.

Agent Information					
Applicant's Full Name	Last Name	First Name	Middle Initial	Male	Female
				<input type="checkbox"/>	<input type="checkbox"/>
Social Security #		Home Phone #		Email Address	
Date of Birth		Veteran or Spouse of Veteran		US Citizen Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>		If not, provide work authorization	
Resident Address, Building or Apt #					
City, State, Zip					
Business Information					
Contracted Client Name					
Branch Name		Branch Number	Telephone Number	Agents Business Email Address	
Branch Mailing Address					
City, State, Zip					
Licensing Information					
License #					
License State(s)					
Line of Authority					
Background Information					
Yes	No				
		1. Have you ever been charged with, been convicted of, or plead "no contest" to:			
		a. Any felony or misdemeanor, other than a minor traffic violation?			
		b. Any violation of state insurance department regulation or statute?			
		c. Any violation of federal or state securities or investment related regulation or statute?			
		2. Have you ever or do you currently have any outstanding or unsatisfied judgments or liens against you?			
		3. Have you ever filed for bankruptcy or insolvent, either personally or in business?			
		4. Have you ever been or are you currently the subject of a consumer-initiated complaint or proceeding?			
		5. Have you ever had an insurance license denied, refused, suspended or revoked?			
IF THE ANSWER TO ANY QUESTION ABOVE IS "YES", PLEASE ATTACH FULL DETAIL(S).					

I understand that to process my application and to evaluate me for licensing purposes, initial state appointment or renewal of state appointments, I may be subject to an investigative consumer report ordered by LOTSolutions, Inc. as required by certain states. I further understand that the investigative report may consist of credit reports; criminal record reports; regulatory inquiries such as state insurance, banking or securities department inquiries; SEC or NASD inquiries and interviews with and inquiries to third parties, such as former employers, financial sources and others.

I AUTHORIZE LOTSOLUTIONS, INC. TO CONDUCT ANY OF THESE INQUIRIES ON BEHALF OF ITS AFFILIATED INSURANCE COMPANIES. I AUTHORIZE, WITHOUT RESERVATION, ANY PARTY OR AGENCY CONTACTED BY LOTSOLUTIONS, INC., ITS AGENTS, MEMBER COMPANIES AND/OR AFFILIATES TO FURNISH THE ABOVE-MENTIONED INFORMATION.

I agree to receive all documentation regarding my license(s) and appointment(s) by electronic delivery. I also agree to provide an electronic signature on this form and others as needed. Your electronic signature will be as legally binding and enforceable as if you had signed on paper with a pen. If you consent to electronic delivery but decline the electronic signature process, We cannot continue to process your Licensing Profile electronically. You can still provide a paper Licensing Profile but it could take longer to process your information. Please refer to the below Electronic Delivery of Notices and Information Disclosure to ensure you have the required hardware and software to receive documentation electronically.

Signed this _____ Day of _____, 20_____

Applicant's Signature

**VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF
1994**

Under the Violent Crime Control and Law Enforcement Act of 1994, Title 18 United States Code Sections 1003(e) (1) (A) and 1034, it is a criminal offense to willfully participate in or to willfully permit a prohibited person to conduct insurance activity, unless the prohibited person has been granted written consent to engage in the business of insurance by the appropriate regulatory official.

A "prohibited person" is an individual who has been convicted of any felony involving dishonesty or a breach of trust, or who has been convicted of an offense under Title 18 U.S.C. §1033. It is a criminal offense for such person to willfully engage in the business of insurance whose activities affect interstate commerce or to participate in such business. Therefore, if a person is deemed to be a prohibited person under the federal law, they must request the appropriate regulatory official for written consent to engage in the business of insurance and the consent must specify that is granted for Title 18 U.S.C. §1033.

Both the prohibited person, and any entity employing such person, whose business affects interstate commerce, has the responsibility of notifying the appropriate regulatory official of all employees who are prohibited persons under this law. Those persons must request written consent from that official before engaging in any insurance activity.

Crimes of dishonesty have generally been held to include all offenses which have as an element falsehood, deceit or falsification, e.g., forgery, counterfeiting, perjury, subornation of perjury and offenses affecting the public administration of justice.

A "breach of trust," has been found to be a violation by a trustee of any duty which, as trustee, he owes to the beneficiary. Under Section 1033, a breach of trust would seem to be limited to violations involving specific fiduciary relationships, not simply a breach of the "public trust."

Please read the following statements and provide your name and signature to the statement as applicable for your situation.

I, _____, certify that I have never been convicted of a felony involving dishonesty or a breach of trust.

Agent's Signature	Date

I, _____, certify that I have been convicted of a felony involving dishonesty or a breach of trust breach of trust. I understand I should advise, and get approval from an appropriate regulatory authority before engaging in any insurance activity.

Agent's Signature	Date

Electronic Delivery of Notices and Information

PLEASE READ THIS NOTICE CAREFULLY. PRINT OR SAVE A COPY FOR YOUR RECORDS.

Electronic Delivery of Notices, Disclosures, Documents and Information

You received this document electronically in relation to your Licensing Profile submitted to LOTSolutions, Inc. referred to in this document as "the Company".

If you decide to conduct business with the Company electronically, you may consent to the electronic delivery of notices, consumer disclosures, documents and information (the "Documents") related to your Licensing Profile with the Company. Your consent will only apply to the processing of your Licensing Profile. Before you sign the Licensing Profile, the Company or its representative is required to provide you this document entitled "Electronic Delivery of Notices and Information".

If you decide to complete an electronic Licensing Profile from the Company, at the end of the process you will be asked to provide an electronic signature which will be applied to your Profile forms and to give consent to receiving the Documents electronically. Your electronic signature will be as legally binding and enforceable as if you had signed on paper with a pen.

If you consent to electronic delivery of the Documents and then decline the electronic signature process, the Company cannot continue processing your Profile electronically. You can still submit a paper Profile, but it could take longer to process your information.

If you want a paper copy of the Documents, but still want the Company to process your Profile electronically, we will still need your consent to receive the Documents electronically. You will not be charged for paper copies of any of the Documents you request.

Required hardware and software

To receive the Documents electronically, you must: (a) provide for your own access to the World Wide Web and pay any service fees associated with such access, and (b) provide all equipment necessary for you to make such connection to the World Wide Web, including a computer and modem or other access device. The following computer hardware and software is all you will need to access the Documents provided to you in electronic form:

- Hardware/Operating Systems: Any PC or MAC with standard Operating Systems
- Browsers: Internet Explorer 8, 9, 10, two latest versions of Firefox, Safari (on MAC only) and Chrome
- PDF Reader: Adobe Reader version 8 or higher
- Internet Bandwidth: High-speed internet connection recommended
- Email address

You may print or save the Documents to your computer for your records. If you have any trouble printing or saving any of the Documents, contact your representative.

You are under no obligation to transact business electronically with the Company. If you do not have the required software and/or hardware, or if you do not wish to use electronic signatures or consent to receiving the Documents electronically for any other reason, you can ask your representative to complete a paper application and to provide you the Documents in paper form instead at no cost to you.